



**RAD REFERRAL: 99 L-03**

**TITLE: BOB ETHERIDGE FOR CONGRESS CMTE.**

**DATE RECEIVED: JUNE 7, 1999**

**DATE TRANSMITTED: JUNE 7, 1999**

**NOTES:**

---

---

---

20.04.398.0209



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

**MEMORANDUM**

June 4, 1999

TO: LAWRENCE M. NOBLE  
GENERAL COUNSEL

THROUGH: JAMES A. PEHRKON  
STAFF DIRECTOR

FROM: JOHN D. GIBSON  
ASSISTANT STAFF DIRECTOR  
REPORTS ANALYSIS DIVISION

SUBJECT: REFERRAL OF BOB ETHERIDGE FOR CONGRESS  
COMMITTEE

The Bob Etheridge for Congress Committee ("the Committee") has failed to file the required Forty-Eight (48) Hour Notifications for eighteen contributions totaling \$26,500 received prior to the 1998 General Election. According to the 1997-1998 Reports Analysis Division Review and Referral Procedures for Authorized Committees (Standard 4), an examination by your office is required.

Bob Etheridge won the General Election receiving fifty-seven point three percent (57.3%) of the vote.

If you have any questions regarding this referral, please contact Pat Sheppard at 694-1130.

Attachment

201043980210

## REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: June 4, 1999

ANALYST: Pat Sheppard

- I. COMMITTEE: Bob Etheridge for Congress Committee  
(C00311555)  
Charles W. Carpenter, Treasurer  
P.O. Box 28001  
Raleigh, NC 27611
- II. RELEVANT STATUTE: 2 U.S.C. §434(a)(6)  
11 CFR §104.5(f)
- III. BACKGROUND:

## Failure to File Forty-Eight Hour Notifications

The Bob Etheridge for Congress Committee ("the Committee") has failed to file the required Forty-Eight (48) Hour Notifications ("48-Hour Notices") for eighteen contributions totaling \$26,500 received prior to the 1998 General Election.

The candidate was involved in the 1998 General Election held on November 3, 1998. Prior Notice was sent to the Committee on September 30, 1998 (Attachment 2). The Notice includes a section titled "48 Hour Notices on Contributions". This section reads "Notices are required if the committee receives contributions (including in-kind gifts or advances of goods or services; loans from the candidate or other non-bank sources; and guarantees or endorsements of loans to the candidate or committee) of \$1,000 or more, during the period October 15 through October 31. The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s)."

20.04.398.0211

BOB ETHERIDGE FOR CONGRESS COMMITTEE  
REPORTS ANALYSIS OGC REFERRAL  
PAGE 2

Schedule A of the 1998 30 Day Post-General Report indicates that the Committee failed to file 48-Hour Notices for eighteen contributions received during the aforementioned period (Attachment 3). The following is a list of the contributions for which no 48-Hour Notices were filed:

| <u>Contributor Name</u>                    | <u>Date</u> | <u>Amount</u> |
|--------------------------------------------|-------------|---------------|
| Emma Lu Bullard                            | 10/27/98    | \$1,000       |
| Su Lou O. Wellons                          | 10/23/98    | \$1,000       |
| Perry DuBose Bullard                       | 10/27/98    | \$1,000       |
| Andrea Soros                               | 10/30/98    | \$1,000       |
| Action Committee for Rural Electrification | 10/27/98    | \$1,000       |
| Adam Smith for Congress Committee          | 10/27/98    | \$1,000       |
| American Dental PAC                        | 10/27/98    | \$1,000       |
| American Hospital Assoc. PAC               | 10/27/98    | \$1,500       |
|                                            | 10/27/98    | \$1,000       |
| BUILD-PAC                                  | 10/23/98    | \$1,000       |
| Ernst & Young PAC                          | 10/27/98    | \$1,000       |
| Laborers' Political League                 | 10/23/98    | \$2,000       |
|                                            | 10/23/98    | \$1,000       |
| Manufactured Housing Institute PAC         | 10/30/98    | \$2,500       |
| RJR Political Action Committee             | 10/27/98    | \$2,500       |
| Sprint Corporation PAC                     | 10/23/98    | \$1,000       |
| UAW V CAP                                  | 10/23/98    | \$5,000       |
| MAYPAC                                     | 10/27/98    | \$1,000       |

On January 26, 1999, a Request for Additional Information ("RFAI") was sent to the Committee (Attachment 4). The RFAI notes on an informational basis that the Committee may have failed to file one or more of the required 48-Hour Notices for "last minute" contributions of \$1,000 or more. The notice requests the Committee to review their procedures for checking contributions received during the aforementioned time period. In addition, the notice states that although the Commission may take legal steps, any response would be taken into consideration.

On February 24, 1999 the Committee responded by letter (Attachment 5). The Committee states that due to an untimely illness and hospitalization several days were missed for the reporting of the 48-Hour Notices.

20.04.398.0212

| COMMITTEE                            | DOCUMENT                               | RECEIPTS |         | DISBURSEMENTS |         | COVERAGE DATES    | # OF PAGES | IMAGE LOCATION |
|--------------------------------------|----------------------------------------|----------|---------|---------------|---------|-------------------|------------|----------------|
|                                      |                                        | PRIMARY  | GENERAL | PRIMARY       | GENERAL |                   |            |                |
|                                      |                                        |          |         |               |         | TYPE OF FILER     |            |                |
| BOB ETHERIDGE FOR CONGRESS COMMITTEE |                                        |          |         |               |         | ID #C00311555     | HOUSE      |                |
| CONNECTED ORGANIZATION:              |                                        |          |         |               |         |                   |            |                |
| 1997                                 | MID-YEAR REPORT                        | 189,970  |         | 89,290        |         | 1JAN97 -30JUN97   | 64         | 97FEC/229/4546 |
|                                      | MID-YEAR REPORT - AMENDMENT            | -        |         | -             |         | 1JAN97 -30JUN97   | 2          | 98FEC/275/4244 |
|                                      | YEAR-END                               | 145,940  |         | 80,573        |         | 1JUL97 -31DEC97   | 75         | 98FEC/275/4246 |
|                                      | YEAR-END - AMENDMENT                   | -        |         | -             |         | 1JUL97 -31DEC97   | 3          | 98FEC/300/2616 |
| 1998                                 | 48 HOUR CONTRIBUTION NOTICE            |          |         |               |         | 27AUG98           | 2          | 98FEC/349/2468 |
|                                      | 48 HOUR CONTRIBUTION NOTICE            |          |         |               |         | 2SEP98            | 2          | 98FEC/350/4228 |
|                                      | 48 HOUR CONTRIBUTION NOTICE            |          |         |               |         | 8SEP98            | 3          | 98FEC/352/2549 |
|                                      | 48 HOUR CONTRIBUTION NOTICE            |          |         |               |         | 15OCT98           | 3          | 98FEC/364/3586 |
|                                      | 48 HOUR CONTRIBUTION NOTICE            |          |         |               |         | 16OCT98           | 3          | 98FEC/366/1801 |
|                                      | 48 HOUR CONTRIBUTION NOTICE            |          |         |               |         | 16OCT98           | 7          | 98FEC/366/1818 |
|                                      | 48 HOUR CONTRIBUTION NOTICE            |          |         |               |         | 21OCT98           | 3          | 98FEC/378/4034 |
|                                      | 48 HOUR CONTRIBUTION NOTICE            |          |         |               |         | 22OCT98           | 4          | 98FEC/382/2585 |
|                                      | 48 HOUR CONTRIBUTION NOTICE            |          |         |               |         | 29OCT98           | 3          | 98FEC/389/1255 |
|                                      | 48 HOUR CONTRIBUTION NOTICE            |          |         |               |         | 2NOV98            | 3          | 98FEC/390/2673 |
|                                      | APRIL QUARTERLY                        | 161,404  |         | 44,147        |         | 1JAN98 -31MAR98   | 68         | 98FEC/300/2619 |
|                                      | APRIL QUARTERLY - AMENDMENT            | -        |         | -             |         | 1JAN98 -31MAR98   | 3          | 98FEC/332/3857 |
|                                      | APRIL QUARTERLY - AMENDMENT            | -        |         | -             |         | 1JAN98 -31MAR98   | 1          | 98FEC/351/1620 |
|                                      | PRE-PRIMARY                            | 11,800   |         | 18,004        |         | 1APR98 -15APR98   | 12         | 98FEC/306/3550 |
|                                      | 1'ST LETTER INFORMATIONAL NOTICE       |          |         |               |         | 1APR98 -15APR98   | 2          | 98FEC/340/1042 |
|                                      | JULY QUARTERLY                         | 129,248  |         | 47,184        |         | 16APR98 -30JUN98  | 42         | 98FEC/332/1084 |
|                                      | JULY QUARTERLY - AMENDMENT             | -        |         | -             |         | 16APR98 -30JUN98  | 1          | 98FEC/351/1619 |
|                                      | PRE-PRIMARY                            | 125,435  |         | 83,358        |         | 1JUL98 -26AUG98   | 74         | 98FEC/350/3470 |
|                                      | PRE-PRIMARY - AMENDMENT                | -        |         | -             |         | 1JUL98 -26AUG98   | 3          | 98FEC/368/4332 |
|                                      | PRE-PRIMARY - AMENDMENT                | -        |         | -             |         | 1JUL98 -26AUG98   | 2          | 98FEC/386/0110 |
|                                      | PRE-PRIMARY - AMENDMENT                | -        |         | -             |         | 1JUL98 -26AUG98   | 3          | 98FEC/391/2042 |
|                                      | PRE-PRIMARY - AMENDMENT                | -        |         | -             |         | 1JUL98 -26AUG98   | 7          | 98FEC/393/4071 |
|                                      | REQUEST FOR ADDITIONAL INFORMATION     |          |         |               |         | 1JUL98 -26AUG98   | 6          | 98FEC/378/1773 |
|                                      | REQUEST FOR ADDITIONAL INFORMATION 2ND |          |         |               |         | 1JUL98 -26AUG98   | 3          | 98FEC/392/0825 |
|                                      | OCTOBER QUARTERLY                      |          | 120,063 |               | 203,820 | 27AUG98 -30SEP98  | 54         | 98FEC/368/4335 |
|                                      | OCTOBER QUARTERLY - AMENDMENT          | -        | -       |               | -       | -27AUG98 -30SEP98 | 3          | 98FEC/394/1850 |
|                                      | PRE-GENERAL                            |          | 54,517  |               | 312,094 | 1OCT98 -14OCT98   | 25         | 98FEC/386/0112 |
|                                      | POST-GENERAL                           |          | 187,000 |               | 221,299 | 15OCT98 -23NOV98  | 76         | 98FEC/394/1853 |
|                                      | POST-GENERAL - AMENDMENT               | -        | -       |               | -       | -15OCT98 -23NOV98 | 3          | 99FEC/428/0870 |
|                                      | POST-GENERAL - AMENDMENT               | -        | -       |               | -       | -15OCT98 -23NOV98 | 2          | 99FEC/435/0627 |
|                                      | REQUEST FOR ADDITIONAL INFORMATION     |          |         |               |         | 15OCT98 -23NOV98  | 3          | 99FEC/421/1604 |
|                                      | REQUEST FOR ADDITIONAL INFORMATION 2ND |          |         |               |         | 15OCT98 -23NOV98  | 4          | 99FEC/434/3036 |
|                                      | YEAR-END                               |          | 16,103  |               | 6,451   | 24NOV98 -31DEC98  | 20         | 99FEC/428/0850 |
| TOTAL                                |                                        | 763,797  | 377,683 | 362,556       | 743,664 |                   | 594        | TOTAL PAGES    |

All Reports Reviewed as of 4/16/99

Outstanding Debts Owed to the Committee: \$0.00

Outstanding Debts Owed by the Committee: \$0.00

Ending Cash-On-Hand as of 12/31/98: \$36,389.63

2004-04-29 02:12

# GENERAL ELECTION REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

September 30, 1998

1998 GENERAL ELECTION CANDIDATE COMMITTEES

| REPORT       | REPORTING PERIOD*   | REG./CERT.<br>MAILING<br>DATE** | FILING<br>DATE |
|--------------|---------------------|---------------------------------|----------------|
| Pre-General  | 10/01/98 - 10/14/98 | 10/19/98                        | 10/22/98       |
|              | —48 Hour Notices—   |                                 |                |
| Post-General | 10/15/98 - 11/23/98 | 12/03/98                        | 12/03/98       |

## WHO MUST FILE

All 1998 general election principal campaign committees of congressional candidates (including unopposed candidates) who seek election in the November 3, 1998, General Election must file the Pre- and Post-General Election Reports. If the campaign has an authorized committee(s), in addition to the principal campaign committee, the principal campaign committee must also file a consolidated report on Form 3Z and attach the report(s) of the authorized committee(s).

## 48 HOUR NOTICES ON CONTRIBUTIONS

Notices are required if the committee receives contributions (including in-kind gifts or advances of goods or services; loans from the candidate or other non-bank sources; and guarantees or endorsements of loans to the candidate or committee) of \$1,000 or more, during the period October 15 through October 31.

The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s). U.S. House candidates faxing 48-hour notices should transmit them to the FEC at 202/219-0174. Senate candidates should transmit them to the Secretary of the Senate at 202/224-1851. Note that 48-hour notices are the only FEC documents that may be faxed.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

\*\* Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

## CONGRESSIONAL COMMITTEES

Attachment #2  
Page 2 of 2  
**Pre/Post General Election**

### **LABEL**

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

### **ELECTRONIC FILING**

Political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

### **COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

5120.062.40.02  

---

**FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100**

SCHEDULE A: ITEMIZED RECEIPTS  
10/15/98 TO 11/23/98PAGE 1 OF 10  
LINE NUMBER 11A  
(1)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: BOB ETHERIDGE FOR CONGRESS

OCCUPATION &amp;

| NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT | ELECTION |
|------------------|----------|------|--------|----------|
|------------------|----------|------|--------|----------|

|                                                                |                                          |          |        |     |
|----------------------------------------------------------------|------------------------------------------|----------|--------|-----|
| M. Durwood Stephenson<br>P.O. Box 1187<br>Smithfield, NC 27577 | General Contractor<br>Stephenson Contrac | 10/22/98 | 100.00 | G98 |
|----------------------------------------------------------------|------------------------------------------|----------|--------|-----|

\* TOTAL YEAR TO DATE: 1250.00 \*

|                                                              |                             |          |         |     |
|--------------------------------------------------------------|-----------------------------|----------|---------|-----|
| Bobby Kenneth Jones<br>P.O. Box 2280<br>Smithfield, NC 27577 | Owner<br>Deacon Jones Chevr | 10/15/98 | 1000.00 | G98 |
|--------------------------------------------------------------|-----------------------------|----------|---------|-----|

\* TOTAL YEAR TO DATE: 1000.00 \*

|                                                     |                                   |          |        |     |
|-----------------------------------------------------|-----------------------------------|----------|--------|-----|
| Paul Perry<br>1607 Wellons Avenue<br>Dunn, NC 28334 | CEO/Executive<br>Perry Bros. Tire | 10/29/98 | 250.00 | G98 |
|-----------------------------------------------------|-----------------------------------|----------|--------|-----|

\* TOTAL YEAR TO DATE: 500.00 \*

|                                                              |                                  |          |        |     |
|--------------------------------------------------------------|----------------------------------|----------|--------|-----|
| Joseph A. Boone<br>1578 US 421 North<br>Lillington, NC 27546 | Rest Home Owner<br>Self-Employed | 10/29/98 | 500.00 | G98 |
|--------------------------------------------------------------|----------------------------------|----------|--------|-----|

\* TOTAL YEAR TO DATE: 1557.00 \*

|                                                                    |              |          |       |     |
|--------------------------------------------------------------------|--------------|----------|-------|-----|
| William M. Tunstall, Jr.<br>1314 Dollar Avenue<br>Durham, NC 27701 | Retired<br>- | 10/21/98 | 50.00 | G98 |
|--------------------------------------------------------------------|--------------|----------|-------|-----|

\* TOTAL YEAR TO DATE: 450.00 \*

|                                                         |              |                      |                |            |
|---------------------------------------------------------|--------------|----------------------|----------------|------------|
| Crosby T. Lloyd<br>409 Old Post Road<br>Erwin, NC 28339 | Retired<br>- | 10/22/98<br>10/29/98 | 50.00<br>30.00 | G98<br>G98 |
|---------------------------------------------------------|--------------|----------------------|----------------|------------|

\* TOTAL YEAR TO DATE: 380.00 \*

|                                                         |                             |          |        |     |
|---------------------------------------------------------|-----------------------------|----------|--------|-----|
| William Irvin Warren<br>P.O. Box 1507<br>Dunn, NC 28335 | President<br>Warren Oil Co. | 10/21/98 | 500.00 | G98 |
|---------------------------------------------------------|-----------------------------|----------|--------|-----|

\* TOTAL YEAR TO DATE: 1000.00 \*

|                                                                |                                      |          |        |     |
|----------------------------------------------------------------|--------------------------------------|----------|--------|-----|
| James F. Causby<br>1213 Chestnut Drive<br>Smithfield, NC 27577 | Superintendent<br>Johnston Co.Schls. | 10/20/98 | 500.00 | G98 |
|----------------------------------------------------------------|--------------------------------------|----------|--------|-----|

\* TOTAL YEAR TO DATE: 1000.00 \*

|                                                          |              |          |         |     |
|----------------------------------------------------------|--------------|----------|---------|-----|
| Emma Lu Bullard<br>1115 Watson Drive<br>Wilson, NC 27893 | Retired<br>- | 10/27/98 | 1000.00 | G98 |
|----------------------------------------------------------|--------------|----------|---------|-----|

\* TOTAL YEAR TO DATE: 2000.00 \*

SUBTOTAL of Receipts This Page: 3980.00



SCHEDULE A: ITEMIZED RECEIPTS  
10/15/98 TO 11/23/98PAGE 3 OF 10  
LINE NUMBER 11A

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: BOB ETHERIDGE FOR CONGRESS

| NAME AND ADDRESS                                                 | OCCUPATION & EMPLOYER                  | DATE     | AMOUNT ELECTION |
|------------------------------------------------------------------|----------------------------------------|----------|-----------------|
| J. Harold Kelly<br>6023 Mockingbird Lane<br>Sanford, NC 27330    | Owner<br>Kelly Insurance               | 11/03/98 | 500.00 G98      |
| * TOTAL YEAR TO DATE: 1000.00 *                                  |                                        |          |                 |
| M. T. Strickland<br>P.O. Box 1504<br>Coats, NC 27521             | Owner<br>Coats IGA                     | 10/20/98 | 1000.00 G98     |
| * TOTAL YEAR TO DATE: 1000.00 *                                  |                                        |          |                 |
| Lester Phillips<br>P.O. Box 1643<br>Dunn, NC 28335               | Solid Waste Disp.<br>L. Phillips, Inc. | 10/23/98 | 500.00 G98      |
| * TOTAL YEAR TO DATE: 500.00 *                                   |                                        |          |                 |
| Su Lou O. Wellons<br>207 Pineview Drive<br>Erwin, NC 28339       | Best Efforts Let.                      | 10/23/98 | 1000.00 G98     |
| * TOTAL YEAR TO DATE: 1000.00 *                                  |                                        |          |                 |
| J. H. Froelich, Jr.<br>P.O. Box 588<br>High Point, NC 27261      | Property Devel.<br>Self-Employed       | 10/30/98 | 250.00 G98      |
| * TOTAL YEAR TO DATE: 250.00 *                                   |                                        |          |                 |
| William Joslin<br>2431 West Lake Drive<br>Raleigh, NC 27609      | Attorney<br>Self-Employed              | 10/15/98 | 200.00 G98      |
| * TOTAL YEAR TO DATE: 700.00 *                                   |                                        |          |                 |
| S. Henry Ball<br>9917 Old Stage Road<br>Raleigh, NC 27603        | Owner<br>Red Star Oil Co.              | 10/16/98 | 300.00 G98      |
| * TOTAL YEAR TO DATE: 300.00 *                                   |                                        |          |                 |
| Zeb D. Alley<br>P.O. Box 12803<br>Raleigh, NC 27605              | Gov't. Relations<br>Zeb Alley & Assoc. | 10/27/98 | 100.00 G98      |
| * TOTAL YEAR TO DATE: 1100.00 *                                  |                                        |          |                 |
| Ruth L. Wethington<br>4809 Whitehall Avenue<br>Raleigh, NC 27604 | Retired                                | 10/20/98 | 25.00 G98       |
| * TOTAL YEAR TO DATE: 282.00 *                                   |                                        |          |                 |

SUBTOTAL of Receipts This Page: 3875.00

**SCHEDULE A: ITEMIZED RECEIPTS**  
**10/15/98 TO 11/23/98**

PAGE 4 OF 10  
 LINE NUMBER 11A

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

**NAME OF COMMITTEE: BOB ETHERIDGE FOR CONGRESS**

| NAME AND ADDRESS | OCCUPATION & EMPLOYER | DATE | AMOUNT | ELECTION |
|------------------|-----------------------|------|--------|----------|
|------------------|-----------------------|------|--------|----------|

|                     |                    |          |        |     |
|---------------------|--------------------|----------|--------|-----|
| Mack R. Choplin     | Nursing Home Mgmt. | 11/13/98 | 400.00 | G98 |
| P.O. Box 741        | NorthStar NC, Inc. | 11/13/98 | 100.00 | P00 |
| Henderson, NC 27536 |                    |          |        |     |

\* TOTAL YEAR TO DATE: 1500.00 \*

|                      |                |          |        |     |
|----------------------|----------------|----------|--------|-----|
| Sharon L. Raynor     | Vice President | 10/27/98 | 250.00 | G98 |
| 202 Parliament Place | LIFE, Inc.     |          |        |     |
| Dunn, NC 28334       |                |          |        |     |

\* TOTAL YEAR TO DATE: 1000.00 \*

|                       |                 |          |        |     |
|-----------------------|-----------------|----------|--------|-----|
| Robert B. Morgan      | Attorney        | 10/20/98 | 100.00 | G98 |
| 1362 Keith Hills Road | Morgan & Reeves |          |        |     |
| Lillington, NC 27546  |                 |          |        |     |

\* TOTAL YEAR TO DATE: 214.00 \*

|                      |               |          |         |     |
|----------------------|---------------|----------|---------|-----|
| Perry DuBose Bullard | Farming       | 10/27/98 | 1000.00 | G98 |
| 1115 Watson Drive    | Self-Employed |          |         |     |
| Wilson, NC 27893     |               |          |         |     |

\* TOTAL YEAR TO DATE: 2000.00 \*

|                      |                    |          |        |     |
|----------------------|--------------------|----------|--------|-----|
| Alton E. Harp, Jr.   | Accountant         | 11/13/98 | 500.00 | G98 |
| 1815 Peter Gill Road | NorthStar NC, Inc. |          |        |     |
| Henderson, NC 27536  |                    |          |        |     |

\* TOTAL YEAR TO DATE: 1500.00 \*

|                         |           |          |       |     |
|-------------------------|-----------|----------|-------|-----|
| Mrs. V. A. Simmons      | Volunteer | 10/27/98 | 60.00 | G98 |
| 4017 Old Milburnie Road | -         |          |       |     |
| Raleigh, NC 27616       |           |          |       |     |

\* TOTAL YEAR TO DATE: 231.00 \*

|                     |             |          |        |     |
|---------------------|-------------|----------|--------|-----|
| William E. Palich   | Retired DVM | 10/30/98 | 500.00 | G98 |
| 3301 NC 581 Highway | -           |          |        |     |
| Louisburg, NC 27549 |             |          |        |     |

\* TOTAL YEAR TO DATE: 600.00 \*

|                    |                    |          |         |     |
|--------------------|--------------------|----------|---------|-----|
| Robert D. Soros    | Business Executive | 10/30/98 | 1000.00 | G98 |
| 888 Seventh Avenue | Soros Fund Mgmt.   |          |         |     |
| Suite 3200         |                    |          |         |     |
| New York, NY 10106 |                    |          |         |     |

\* TOTAL YEAR TO DATE: 1000.00 \*

|                    |                  |          |         |     |
|--------------------|------------------|----------|---------|-----|
| Andrea Soros       | President        | 10/30/98 | 1000.00 | G98 |
| 888 Seventh Avenue | Trace Foundation |          |         |     |
| Suite 3200         |                  |          |         |     |
| New York, NY 10106 |                  |          |         |     |

\* TOTAL YEAR TO DATE: 1000.00 \*

**SUBTOTAL of Receipts This Page: 4910.00**

2004043980210

7/25

7/25

## SCHEDULE A: ITEMIZED RECEIPTS

10/15/98 TO 11/23/98

## OTHER POLITICAL COMMITTEES

PAGE 1 OF 16

LINE NUMBER 11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: BOB ETHERIDGE FOR CONGRESS  
COMMITTEE

DATE AMOUNT ELECTION

'98 Friends of Chris Dodd  
P.O. Box 331133  
West Hartford, CT 06133

11/02/98 1000.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

Abbott Laboratories Better Gov't. Fund  
100 Abbott Park Road  
Route 137 and Waukegan Road  
Abbott Park, IL 60064

10/15/98 500.00 G98

\* TOTAL YEAR TO DATE: 1500.00 \*

Action Committee for Rural Electrification  
4301 Wilson Boulevard  
Arlington, VA 22203

10/27/98 1000.00 G98

\* TOTAL YEAR TO DATE: 2500.00 \*

Adam Smith for Congress Committee  
P.O. Box 25345  
Federal Way, WA 98093

10/27/98 1000.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

AFL-CIO COPE  
815 Sixteenth Street, N.W.  
Washington, DC 20006

10/29/98 5000.00 G98

\* TOTAL YEAR TO DATE: 5000.00 \*

AGC PAC  
1957 E. Street, N.W.  
Washington, DC 20006

10/22/98 500.00 G98

\* TOTAL YEAR TO DATE: 500.00 \*

American Dental PAC  
1111 14th Street, N.W.  
Suite 1100  
Washington, DC 20005

10/27/98 1000.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

SUBTOTAL of Receipts This Page: 10000.00

20.04.398.021

25

25

SCHEDULE A: ITEMIZED RECEIPTS  
10/15/98 TO 11/23/98  
OTHER POLITICAL COMMITTEESPAGE 2 OF 16  
LINE NUMBER 11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: BOB ETHERIDGE FOR CONGRESS  
COMMITTEE

DATE AMOUNT ELECTION

American Health Care Assoc. PAC  
1201 L Street, N.W.  
Washington, DC 20005

11/20/98 1000.00 G98

\* TOTAL YEAR TO DATE: 2000.00 \*

American Hospital Assoc. PAC  
325 Seventh Street, N.W.  
Liberty Place, Suite 700  
Washington, DC 20004

10/27/98 1500.00 G98

10/27/98 1000.00 G98

\* TOTAL YEAR TO DATE: 3000.00 \*

American Optometric Assoc. PAC  
1505 Prince Street  
Suite 300  
Alexandria, VA 22314

11/03/98 1500.00 G98

\* TOTAL YEAR TO DATE: 1500.00 \*

American Veterinary Medical Assoc. PAC  
1101 Vermont Avenue, N.W.  
Suite 710  
Washington, DC 20005

11/02/98 500.00 G98

\* TOTAL YEAR TO DATE: 500.00 \*

AMERIPAC - The Fund For A Greater America  
1341 G Street, N.W.  
Suite 200  
Washington, DC 20005

10/21/98 2000.00 G98

\* TOTAL YEAR TO DATE: 2000.00 \*

Amoco PAC  
200 East Randolph Drive  
Chicago, IL 60601

10/29/98 500.00 G98

\* TOTAL YEAR TO DATE: 500.00 \*

Anna Eshoo for Congress  
555 Capitol Mall  
Suite 1425  
Sacramento, CA 95814

10/20/98 500.00 G98

11/05/98 500.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

SUBTOTAL of Receipts This Page: 9000.00

0220.063.40.03  
20.04.398.0220

SCHEDULE A: ITEMIZED RECEIPTS  
10/15/98 TO 11/23/98  
OTHER POLITICAL COMMITTEESPAGE 4 OF 16  
LINE NUMBER 11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: BOB ETHERIDGE FOR CONGRESS  
COMMITTEE

DATE AMOUNT ELECTION

Brown & Williamson Tobacco Corp. Employee PAC  
1500 Brown & Williamson Twr.  
Louisville, KY 40202

10/30/98 1000.00 G98

10/30/98 500.00 G98

\* TOTAL YEAR TO DATE: 3000.00 \*

BUILD-PAC

1201 15th Street, N.W.  
Washington, DC 20005

10/23/98 1000.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

Carpenters Legislative Improvement Committee  
101 Constitution Avenue, NW  
Washington, DC 20001

10/22/98 2500.00 G98

\* TOTAL YEAR TO DATE: 7500.00 \*

Champions for Good Government of Champion Int'l. Corp.  
1875 Eye Street  
Suite 540  
Washington, DC 20006

11/05/98 1000.00 G98

\* TOTAL YEAR TO DATE: 3500.00 \*

Chet Edwards for Congress  
P.O. Box 70528  
Washington, DC 20024

11/02/98 500.00 G98

11/02/98 500.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

Citizens for Waters  
555 S. Flower Street  
No. 4510  
Los Angeles, CA 90071

10/16/98 1000.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

Committee for a Progressive Congress  
2201 Wisconsin Avenue, N.W.  
Suite 320  
Washington, DC 20007

10/22/98 500.00 G98

\* TOTAL YEAR TO DATE: 500.00 \*

SUBTOTAL of Receipts This Page: 8500.00

20.04.398.0221

SCHEDULE A: ITEMIZED RECEIPTS  
10/15/98 TO 11/23/98  
OTHER POLITICAL COMMITTEESPAGE 6 OF 16  
LINE NUMBER 11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: BOB ETHERIDGE FOR CONGRESS  
COMMITTEE

DATE AMOUNT ELECTION

DIMON, Inc. PAC  
512 Bridge Street  
Danville, VA 24541

10/30/98 1000.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

Doyle for Congress Committee  
P.O. Box 17426  
Pittsburgh, PA 15235

11/20/98 1000.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

DRIVE Political Fund  
25 Louisiana Avenue, NW  
Washington, DC 20001

10/29/98 5000.00 G98

\* TOTAL YEAR TO DATE: 10000.00 \*

Eastman Kodak Company Employee PAC  
343 State Street  
2nd Floor, Building 15  
Rochester, NY 14650

10/30/98 500.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

Effective Government Committee  
607 Fourteenth Street, N.W.  
Suite 800  
Washington, DC 20005

10/20/98 2500.00 G98

\* TOTAL YEAR TO DATE: 5000.00 \*

Ernst & Young PAC  
1225 Connecticut Ave., NW  
Suite 200  
Washington, DC 20036

10/27/98 1000.00 G98

\* TOTAL YEAR TO DATE: 2000.00 \*

Exxon Corporation PAC  
P.O. Box 2180  
Houston, TX 77252

10/16/98 2500.00 G98

\* TOTAL YEAR TO DATE: 2500.00 \*

SUBTOTAL of Receipts This Page: 13500.00

**SCHEDULE A: ITEMIZED RECEIPTS**  
**10/15/98 TO 11/23/98**  
**OTHER POLITICAL COMMITTEES**

PAGE 8 OF 16  
 LINE NUMBER 11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: BOB ETHERIDGE FOR CONGRESS  
 COMMITTEE

DATE AMOUNT ELECTION

I.B.E.W. - C.O.P.E.  
 1125 15th Street, NW  
 Washington, DC 20005

10/22/98 5000.00 G98

\* TOTAL YEAR TO DATE: 7000.00 \*

Ironworkers Political Action League  
 1750 New York Avenue, NW  
 Suite 400  
 Washington, DC 20006

10/30/98 2500.00 G98

\* TOTAL YEAR TO DATE: 2500.00 \*

Jim Davis for Congress Committee  
 3716 W. Swann Avenue  
 Tampa, FL 33609

11/03/98 500.00 G98

\* TOTAL YEAR TO DATE: 500.00 \*

John D. Dingell for Congress Committee  
 607 14th Street, N.W.  
 Suite 800  
 Washington, DC 20005

10/29/98 1000.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

Johnson & Johnson Employees' Good Gov't. Fund  
 One Johnson & Johnson Plaza  
 New Brunswick, NJ 08933

10/16/98 500.00 G98

\* TOTAL YEAR TO DATE: 500.00 \*

Joseph E. Seagram & Sons, Inc. PAC  
 1401 Eye Street, N.W.  
 Washington, DC 20005

11/02/98 500.00 G98

\* TOTAL YEAR TO DATE: 500.00 \*

Laborers' Political League  
 905 Sixteenth Street, NW  
 Washington, DC 20006

10/23/98 2000.00 G98

10/23/98 1000.00 G98

\* TOTAL YEAR TO DATE: 4000.00 \*

SUBTOTAL of Receipts This Page: 13000.00

285

2004043980222

SCHEDULE A: ITEMIZED RECEIPTS  
10/15/98 TO 11/23/98  
OTHER POLITICAL COMMITTEESPAGE 9 OF 16  
LINE NUMBER 11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: BOB ETHERIDGE FOR CONGRESS  
COMMITTEE

DATE AMOUNT ELECTION

## Lofgren for Congress

111 W. St. John Street

10/16/98

500.00

G98

Suite 400

10/22/98

500.00

G98

San Jose, CA 95113

\* TOTAL YEAR TO DATE: 1000.00 \*

## Lone Star Fund

P.O. Box 4219

10/21/98

1000.00

G98

Dallas, TX 75208

\* TOTAL YEAR TO DATE: 2000.00 \*

## Lucent Technologies PAC

900 19th Street, N.W.

10/21/98

1000.00

G98

Suite 700

Washington, DC 20006

\* TOTAL YEAR TO DATE: 2000.00 \*

## Machinists Non-Partisan Political League General Fund

9000 Machinist Place

10/16/98

2500.00

G98

Suite 201

Upper Marlboro, MD 20772

\* TOTAL YEAR TO DATE: 2500.00 \*

## Maintenance of Way Political League

10 G Street, N.E.

10/30/98

500.00

G98

Suite 460

Washington, DC 20002

\* TOTAL YEAR TO DATE: 500.00 \*

## Manton for Congress

2235 RHOB

11/02/98

500.00

G98

Washington, DC 20515

\* TOTAL YEAR TO DATE: 500.00 \*

## Manufactured Housing Institute PAC

2101 Wilson Boulevard

10/30/98

2500.00

G98

Suite 610

Arlington, VA 22201

\* TOTAL YEAR TO DATE: 5000.00 \*

SUBTOTAL of Receipts This Page: 9000.00

20.04.398.0224



SCHEDULE A: ITEMIZED RECEIPTS  
10/15/98 TO 11/23/98  
OTHER POLITICAL COMMITTEESPAGE 12 OF 16  
LINE NUMBER 11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: BOB ETHERIDGE FOR CONGRESS  
COMMITTEE

DATE AMOUNT ELECTION

Philip Morris Companies, Inc. PAC  
120 Park Avenue  
New York, NY 10017

10/15/98 2500.00 G98

\* TOTAL YEAR TO DATE: 6500.00 \*

POWER PAC of the Edison Electric Institute  
701 Pennsylvania Ave., N.W.  
Washington, DC 20004

10/23/98 500.00 G98

\* TOTAL YEAR TO DATE: 500.00 \*

Rain and Hail Insurance Society PAC  
1501 50th Street  
Suite 200  
West Des Moines, IA 50266

10/20/98 500.00 G98

\* TOTAL YEAR TO DATE: 500.00 \*

Rangel for the 106th Congress  
P.O. Box 5577  
Manhattanville Station  
New York, NY 10027

10/20/98 1000.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

Realtors PAC  
430 N. Michigan Avenue  
Chicago, IL 60611

10/15/98 4500.00 G98

\* TOTAL YEAR TO DATE: 9500.00 \*

RJR Political Action Committee  
P.O. Box 718  
Winston-Salem, NC 27102

10/15/98 2500.00 G98

10/27/98 2500.00 G98

\* TOTAL YEAR TO DATE: 5000.00 \*

Scott for Congress  
P.O. Box 251  
Newport News, VA 23607

10/29/98 500.00 G98

\* TOTAL YEAR TO DATE: 500.00 \*

SUBTOTAL of Receipts This Page: 14500.00

20.04.390.0225

SCHEDULE A: ITEMIZED RECEIPTS  
10/15/98 TO 11/23/98  
OTHER POLITICAL COMMITTEESPAGE 13 OF 16  
LINE NUMBER 11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: BOB ETHERIDGE FOR CONGRESS  
COMMITTEE

DATE AMOUNT ELECTION

Service Employees International Union COPE  
1313 L Street, N.W.  
Washington, DC 20005

10/30/98 1000.00 G98

\* TOTAL YEAR TO DATE: 3000.00 \*

Sprint Corporation PAC  
P.O. Box 11315  
Kansas City, MO 64112

10/23/98 1000.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

The National Leadership PAC  
P.O. Box 5577  
Manhattanville Station  
New York, NY 10027

11/02/98 4000.00 G98

\* TOTAL YEAR TO DATE: 5000.00 \*

Time Future  
P.O. Box 669  
Princeton, NJ 08542

10/20/98 1000.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

Transport Workers Union Political Contributions Committee  
10 G Street, N.E.  
Suite 420  
Washington, DC 20002

10/23/98 500.00 G98

\* TOTAL YEAR TO DATE: 2500.00 \*

Triangle Bancorp, Inc. PAC (Federal)  
P.O. Box 31828  
Raleigh, NC 27622

10/29/98 100.00 G98

\* TOTAL YEAR TO DATE: 350.00 \*

UAW V CAP  
800 E. Jefferson Avenue  
Detroit, MI 48214

10/23/98 5000.00 G98

\* TOTAL YEAR TO DATE: 5000.00 \*

SUBTOTAL of Receipts This Page: 12600.00

9220 "B6E" 40 "02

SCHEDULE A: ITEMIZED RECEIPTS  
10/15/98 TO 11/23/98  
OTHER POLITICAL COMMITTEESPAGE 14 OF 16  
LINE NUMBER 11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: BOB ETHERIDGE FOR CONGRESS  
COMMITTEE

DATE AMOUNT ELECTION

Weygand Committee  
P.O. Box 7818  
Warwick, RI 02887

10/20/98 1000.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

Woolsey for Congress  
P.O. Box 750176  
Petaluma, CA 94975

10/20/98 500.00 G98

11/02/98 500.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

\*First Health Group Corp. PAC  
3200 Highland Avenue  
Downers Grove, IL 60515

10/27/98 500.00 G98

\* TOTAL YEAR TO DATE: 500.00 \*

\*Above contribution earmarked through:

AMERIPAC - The Fund For A Greater America  
1341 G Street, N.W., Suite 200  
Washington, DC 20005

10/23/98 500.00 MEMO

TOTAL  
FROM  
CONDUIT

\*MAYPAC

611 Olive Street  
Suite 750  
St. Louis, MO 63101

10/27/98 1000.00 G98

\* TOTAL YEAR TO DATE: 1000.00 \*

\*Above contribution earmarked through:

New Democrat Network  
501 Capitol Court, N.E., Suite 200  
Washington, DC 20002

10/22/98 1000.00 MEMO

TOTAL  
FROM  
CONDUIT

SUBTOTAL of Receipts This Page: 3500.00

**FEDERAL ELECTION COMMISSION**  
WASHINGTON, D.C. 20463

RQ-2

Charles W. Carpenter, Treasurer  
Bob Etheridge For Congress Committee  
P.O. Box 28001  
Raleigh, NC 27611

JAN 26 1999

Identification Number: C00311555

Reference: 30 Day Post-General Report (10/15/98-11/23/98)

Dear Mr. Carpenter:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

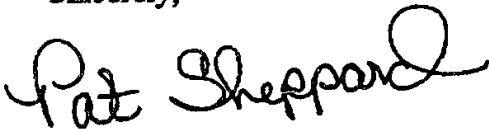
-Your committee filed a 48 hour notice disclosing a "last minute" contribution from AFGE PAC on 10/30/98. This contribution does not appear on a Schedule A of this report. Please amend your report to include this contribution or provide an explanation of this apparent discrepancy.

-Schedule A of your report indicates that your committee may have failed to file one or more of the required 48 hour notices regarding "last minute" contributions received by your committee after the close of books for the 12 Day Pre-General Report. A principal campaign committee must notify the Commission, in writing, within 48 hours of any contribution of \$1,000 or more received between two and twenty days before an election. These contributions are then reported on the next report required to be filed by the committee. To ensure that the Commission is notified of last minute contributions of \$1,000 or more to your campaign, it is recommended that you review your procedures for checking contributions received during the aforementioned time period. Although the Commission may take legal action, any response you wish to provide concerning this matter will be considered. (11 CFR §104.5(f))

-For future reports, please be advised that contributions to other committees or charitable organizations are not operating expenditures. These amounts should be disclosed on Line 21 of the Detailed Summary Page and itemized on a separate Schedule B.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 694-1130.

Sincerely,



Pat Sheppard  
Senior Reports Analyst  
Reports Analysis Division

2020-03-10 02:04:39

 **Bob  
Etheridge**

CONGRESS

Attachment #5  
Page 1 of 1  
FEDERAL ELECTION  
COMMISSION MAIL ROOM

Post Office Box 28001  
Raleigh, North Carolina 27611  
Telephone: (919) 834-3090

February 17, 1999

1 15 PM '99

Pat Sheppard  
Senior Reports Analyst  
Reports Analysis Division  
Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Dear Ms. Sheppard:

**RE: Bob Etheridge for Congress Committee ID #C00311555**

This letter is prompted by the Commission's review of the Committees reports in the letter dated January 26, 1999. I am sorry for our delayed response. The following are questions that were raised concerning certain information contained in the report. An itemization follows:

**Reference: 30 Day Post-General Report (10/15/98-11/23/98)**

**Issue: Contribution on Schedule A**

The AFGE PAC contribution on 10/30/98 was in fact reported on page 15 of 16 on line number 11C. This contribution was perhaps overlooked because it is an earmarked contribution through the DCCC.

**Issue: 48 hour notices**

I have reviewed our procedures for checking contributions received during the aforementioned time period and have unfortunately found discrepancies in our reporting. I am the sole person in charge of 48 hour reports and during this critical time I had an untimely illness and hospitalization. I trained one of my counterparts but we evidently missed days of reporting. I apologize for this error in 48 hour reports. In the future I will take extra care and caution to make sure the procedure in place is followed.

Thank you for your time.

Sincerely



Andrea Bell  
Finance Consultant  
Bob Etheridge for Congress